



数论简介、素数、算术基本定理

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数论简介

名人名言

- (Johann Carl Friedrich **Gauss** 1777.4–1855.2)
- Mathematics is the **queen** of sciences and number theory is the **queen** of mathematics. She often condescends to render service to astronomy and other natural sciences, but in all relations she is entitled to the first rank.
- From “Gauss zum Gedächtniss”. Book by Wolfgang Sartorius von Waltershausen, 1856.



相关科学与技术

- Algebra
- geometry
- analysis
- logic
- topology
- computer science



数的演化

- 自然数N -> 取反
- 整数Z -> 除
- 有理数Q -> 实分析/Dedekind Cut
- 实数R -> 负数开方
- 复数C



Theorem $\sqrt{2}$ is an irrational number.

Proof.

Assume that $\sqrt{2}$ is a rational number. Then

$$\sqrt{2} = a/b,$$

where a and b are coprime integers.

$$\begin{aligned} a^2/b^2 &= 2 \\ a^2 &= 2b^2 \end{aligned}$$

So, a must be even.



Let $a = 2k$.

$$2b^2 = (2k)^2$$
$$b^2 = 2k^2$$

So, b must be even, which contradicts that a/b is irreducible. ■



从自然数开始

自然数的基本性质

- Successor Operation
 - $s(n) = n + 1$
- PMI (Principle of Mathematical Induction)
 - $p(1)$ is true and $p(n) \Rightarrow p(n + 1)$, then $p(n)$ is true for all natural numbers.
- WOP (Well Ordering Principle)
 - Every nonempty subset of natural number has a smallest element.



Excise 001

Prove (PMI):

$$0 + 1 + 2 + \dots + n = \frac{n(n+1)}{2}$$

.



整除、带余除法和互素

Divisibility

$a|b$ if $b = ax$ for $a, b, x \in Z$ and $a \neq 0$

$$\forall n \in N, \quad n|0$$

$$a|b, b|c \rightarrow a|c$$

$$a|b, a|c \rightarrow a|bx + cy \quad \forall x, y \in Z$$

Example

$$3|6, 6|36 \rightarrow 3|36$$

$$7|14, 7|35 \rightarrow 7|(14 \times 3 + 35 \times 2 = 112)$$



Division with Remainder

Theorem 1: Given $a, b \in \mathbb{Z}$ with $a > 0$,
 $\exists q, r \in \mathbb{Z}$, such that $b = aq + r, 0 \leq r < a$

Proof:

Let $S = \{b + ka : k \in \mathbb{Z}, b + ka \geq 0\}$

S is not empty: $\begin{cases} b > 0 & \text{then } b + 0a \in S \\ b < 0 & \text{then adding } a \text{ enough times} \\ & \text{to make it positive} \end{cases}$



Since S is nonempty, it has a smallest element $r = b + ka$ for some k (WOP).

Setting $q = -k$ results in $r = b - qa$.

$r \geq 0$ because it is in S , and $r < a$ because if not, then $b + (k - 1)a$ would be smallest element in S . ■



Example

$$311 = ? \times 13 + ? \quad (a = 13)$$

$$-21 = ? \times 11 + ? \quad (a = 11)$$



Definition **GCD(Greatest Common Divisor)**

If a and b are not both 0, then $gcd(a, b)$ or (a, b) is the greatest common divisor of a and b .



Example

$$\gcd(24,38) = 2$$

Excise002

$$\gcd(148,111111) = ?$$



Theorem 2. Let $g = \gcd(a, b)$, then
 $\exists v_0, y_0 \in \mathbb{Z}$ such that $g = ax_0 + by_0$.

Proof. Let $S = \{ax + by : x, y \in \mathbb{Z}, ax + by > 0\}$, and assume a, b not both 0.

Assume $a \neq 0$,

$$S \text{ is not empty: } \begin{cases} a > 0 & \Rightarrow a \in S \\ a < 0 & \Rightarrow -a \in S \end{cases}$$

Since S is not empty, it has a smallest element $g = ax + by$.



- Prove $g|a$ (by contradiction):

$$a = gq + r, \quad 0 < r < g$$

$$r = a - gq = a - q(ax + by) = a(1 - qx) - b(qy)$$

$$\Rightarrow r \in S$$

However, $r < g$, so g isn't the smallest.

- Prove g is largest common:

If $d|a$ and $d|b$, then $d|ax + by = g$. Since $g|a, g|b$, and g is largest common divisor, then g is $\gcd(a, b)$. ■



Excise003

$$\gcd(24, 34) = 2$$

$$2 = 24x + 34y, \quad x, y \in \mathbb{Z}$$

$$x = ?, \quad y = ?$$



Definition Coprime

If $\gcd(a,b)=1$, then a and b are coprime.

Example

- 10 and 9 are coprime
- 10 and 12 are not coprime



Corollary: If $\gcd(a, m) = 1$ and $\gcd(b, m) = 1$, then $\gcd(ab, m) = 1$.

Proof. $1 = ax + my, ax = 1 - my$
 $1 = bx' + my', bx' = 1 - my'$
 $abxx' = (1 - my)(1 - my')$
 $= 1 - my - my' + m^2yy'$
 $= 1 + m(-y - y' + myy')$
 $1 = ab(xx') + m(y + y' - myy')$



Example

$$\gcd(5,24) = 1 \quad \gcd(7,24) = 1$$

$$\gcd(35,24) = 1$$



Corollary: If $c|ab$ and $\gcd(c, a) = 1$, then $c|b$.

Proof.

$$\gcd(a, c) = 1 \Rightarrow 1 = ax + cy \Rightarrow b = abx + bcy$$

$$c|ab, c|bc \Rightarrow c|(abx + bcy) = b$$



Example

$$35|1050, \gcd(35,6) = 1$$

$$35|175$$



Euclidean GCD Algorithm (辗转相除法):

Given $a, b \in \mathbb{Z}$, not both 0, one can find $\gcd(a, b)$ as follows.

1. If $a, b < 0$, replace with negative.
2. If $a > b$, switch a and b .
3. If $a = 0$, return b .
4. Since $a > 0$, write $b = aq + r$ with $0 \leq r < a$. Replace $\gcd(a, b)$ with $\gcd(r, a)$ and go to step 3.



Proof. Step 1 and 2 do not affect the GCD.
 So only need to prove $\gcd(a, b) = \gcd(r, a)$ where $b = aq + r$. Let $d = \gcd(r, a)$ and $e = \gcd(a, b)$,

$$\begin{aligned} d = \gcd(r, a) &\Rightarrow d|a, d|r \\ &\Rightarrow d|aq + r = b \\ &\Rightarrow d|a, b \\ &\Rightarrow d|\gcd(a, b) = e \end{aligned}$$



$$\begin{aligned} e = \gcd(a, b) &\Rightarrow e|a, e|b \\ &\Rightarrow e|b - aq = r \\ &\Rightarrow e|r, a \\ &\Rightarrow e|\gcd(r, a) = d \end{aligned}$$

Since d and e are positive and divide each other, and thus being equal. ■



Excise002 again

$$\gcd(148, 111111) = ?$$



Definition: Prime number

A **prime number** is an integer $p > 1$ such that it cannot be written as $p = ab$ where $a, b > 1$.

Example

- 11 is a prime number
- 111 is not a prime number



Theorem 3 (Fundamental Theorem of Arithmetic) Every positive integer can be written as a product of primes (possibly with repetition) and any such expression is unique up to a permutation of the prime factors.



Example

$$72 = 2^3 \times 3^2$$

$$999999 = 3^3 \times 7 \times 11 \times 13 \times 37$$



Proof of Existence (by contradiction):

Let S be the set of numbers which cannot be written as a product of primes. Assume S is not empty, it has a smallest element n by WOP. $n = 1$ is not possible by definition, so $n > 1$. n cannot be prime, since if so it'd be a product with one term, and so wouldn't be in S .

Hence, $n = ab$ with $a, b > 1$.



Also, $a, b < n$ so they cannot be in S by minimality of n , and so a and b are the product of primes. n is the product of the two, and so is also a product of primes, and so cannot be in S , and hence S is empty.



Proof of Uniqueness.

Lemma: If p is prime and $p|ab$, then $p|a$ or $p|b$.

Proof. Assume $p \nmid a$, and let $g = \gcd(p, a)$, since p is prime, $g = 1$ or p , and g cannot be p because $g|a$ and $p \nmid a$, so $g = 1$. So, $p|b$.



Corollary: If $p|a_1 a_2 \cdots a_n$, then $p|a_i$ for some i .

Proof. If $n = 1$ then the corollary is true. Suppose it holds for $n = k$. Let $n = k + 1$,

$$p|a_1 a_2 \cdots a_k a_{k+1}$$

$$p|AB \Rightarrow \begin{cases} p|A & \overset{\check{A}}{=} p|a_1 a_2 \cdots a_k \\ p|B & \overset{\check{B}}{=} p|a_{k+1} \end{cases} \Rightarrow p|a_i \text{ for some } i$$



Proof of Uniqueness. Suppose $n = p_1 p_2 \cdots p_r = q_1 q_2 \cdots q_s$, $p_1 | n = q_1 q_2 \cdots q_s$, so $p_1 | q_i$ for some i . Since p_1 and q_i are prime, $p_1 = q_i$.

Canceling one by one, one can obtain $r = s$ and $p_1 p_2 \cdots p_r$ is permutation of $q_1 q_2 \cdots q_s$.

■



Theorem 4: There are infinitely many primes.

Proof. Suppose there are finitely many primes p_1, p_2, \dots, p_n , with $n \geq 1$. consider $N = p_1 p_2 \cdots p_n + 1$, and so by the Fundamental Theorem of Arithmetic there must be a prime q dividing N . Using Euclidean gcd algorithm, $(p_i, p_1 p_2 \cdots p_n + 1) = (p_i, 1) = 1$, and so $p_i \nmid N$. So, $q \neq p_i$ for any i , and q is a new prime. ■



Another proof by Euler***

$$1 + \left(\frac{1}{p}\right) + \left(\frac{1}{p}\right)^2 + \left(\frac{1}{p}\right)^3 + \dots = \frac{1}{1 - 1/p},$$

$$\prod_p \frac{1}{1 - 1/p} = \prod_p \left(1 + \frac{1}{p} + \frac{1}{p^2} + \frac{1}{p^3} + \dots\right).$$



After expanding Σ , we can pick out any combination of terms to obtain

$$\begin{aligned} & \prod_p \frac{1}{1 - 1/p} \\ &= \left(\dots \frac{1}{p_1^{e_1}} \dots\right) \left(\dots \frac{1}{p_2^{e_2}} \dots\right) \dots \left(\dots \frac{1}{p_m^{e_m}} \dots\right) \dots \\ &= \sum_{n=1}^{\infty} \frac{1}{n} \end{aligned}$$



与素数相关的著名猜想

- Goldbach Conjecture
- Twin Prime Conjecture
- Mersenne Prime Conjecture